

01-30-02

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE  
REQUEST FOR FILING NATIONAL PATENT APPLICATION  
Under 35 USC 111(a) and Rule 53(b)

PATENT APPLICATION

JC975 U.S.  
Hon. Commissioner of Patents  
Washington, D.C. 20231

WITH SIGNED DECLARATION

 NONPROVISIONAL  
 NON REISSUE  
 NON PCT NAT PHASE

Sir:

Herewith is the PATENT APPLICATION of  
Inventor(s): Katsuchi OSAKABE

Title OPTICAL DISK WITH PRERECORDED CONTROL  
INFORMATION

Atty. Dkt.: PW 0277036 | H7622US  
M# Client Ref

Date: January 28, 2002

including:

1. Specification:  38 pages (only spec. and claims)  Specification in non-English language
2. Declaration  Original  Facsimile/Copy  Abstract 1 page(s); 36 numbered claims
3. Drawings:  7 sheet(s)  informal;  formal of size:  A4  11"
4.  See top first page re prior Provisional, National or International application(s). (\*X\* box only if info is there and do not complete corresponding item 5 or 6). (Prior M# \_\_\_\_\_ SN \_\_\_\_\_)
5. AMEND the specification please by inserting before the first line: -- This is a  Continuation-in-Part  
 Divisional  Continuation  Substitute Application (MPEP 201.09) of:  
 5(a)  National Appln. No. / filed (M# )  
 5(b)  International Appln. No. filed \_\_\_\_\_  
 which designated the U.S., and that International Application  was  was not published under PCT Article 21(2) in English--
6.  AMEND the specification by inserting before the first line: -- This application claims the benefit of U.S. Provisional Application No. 60/\_\_\_\_\_, filed \_\_\_\_\_. --
7.  Attached is an assignment and cover sheet. Please return the recorded assignment to the undersigned.
8.  Prior application is assigned to \_\_\_\_\_

by Assignment recorded Reel Frame \_\_\_\_\_

10. FOREIGN priority is claimed under 35 USC 119(a)-(d)/365(b) based on filing in Japan

11. (country)

Application No.	Filing Date	Application No.	Filing Date
(1) 2001-026609	02/02/2001	(2)	
(3)		(4)	
(5)		(6)	
(7)		(8)	
(9)		<input type="checkbox"/> See 3rd page for additional priorities	

12.  1 (No.) Certified copy (copies):  attached;  previously filed (date) \_\_\_\_\_  
 in U.S. Application No. / filed on \_\_\_\_\_
13. Small entity status →  is not claimed;  is claimed (Pre-filing confirmation required)
- 13(a).  Attached: \_\_\_\_\_ (No.) Small Entity Statement(s) (since 9/8/00 small entity statement(s) not essential to make claim)  
 13(b)  See NONPUBLICATION REQUEST under Rule 213(a) attached (PAT-258)

JC975 U.S. PTO  
10/058933  
01/28/02

14. **DOMESTIC/INTERNATIONAL** priority is claimed under 35 USC 119(e)/120/365(c) based on the following provisional, nonprovisional and/or PCT international application(s):

Application No.	Filing Date	Application No.	Filing Date
(1)		(4)	
(2)		(5)	
(3)		(6)	

15.  This application is being filed under Rule 53(b)(2) since an inventor is named in the enclosed Declaration who was not named in the prior application.

16.  Attached:

17.  Preliminary Amendment:

**THE FOLLOWING FILING FEE IS BASED ON CLAIMS AS FILED LESS ANY ABOVE CANCELLED**

	Large/Small Entity		Fee Code
18. Basic Filing Fee	\$740/\$370	\$740	101/201
19. Total Effective Claims 36 minus 20 = *16	x \$18/\$9 =	+ 288	103/203
20. Independent Claims 11 minus 3 = *8	x \$84/\$42 =	+ 672	102/202
	*If answer is zero or less, enter "0"		
21. If any proper multiple dependent claim (ignore improper) is present , add <input type="checkbox"/> (Leave this line blank if this is a reissue application)	+ \$280/\$140	+ 0	104/204
22.	<b>TOTAL FILING FEE ENCLOSED =</b>		\$1700
23. If "non-English" box 2 is X'd, add Rule 17(k) processing fee	+ \$130	+ 0	139
24. If "assignment" box 8 is X'd, add recording fee	+ \$40	+ 40	581
25. <input type="checkbox"/> Attached is a Petition/Fee under Rule No.	+ \$130	+ 0	122
26.	<b>TOTAL FEE ENCLOSED =</b>		\$1740

Our Deposit Account No. 03-3975

Our Order No. 051270 0277036

C#

M#

**CHARGE STATEMENT** The Commissioner is hereby authorized to charge any fee specifically authorized hereafter, or any missing or insufficient fee(s) filed, asserted to be filed, or which should have been filed herewith or concerning any paper filed hereafter, and which may be required under Rules 16-18 (missing or insufficient fees only) now or hereafter relative to this application and the resulting Official document under Rule 20, or credit any overpayment, to our Account/Order Nos. shown above for which purpose a duplicate copy of this sheet is attached.

This **CHARGE STATEMENT** does not authorize charge of the issue fee until/unless an issue fee transmittal form is filed.

**Pillsbury Winthrop LLP  
Intellectual Property Group**

725 South Figueroa Street, Suite 2800  
Los Angeles, CA 90017-5406  
Tel: (213) 488-7100

By Atty: Roger R. Wise

Reg. No. 31204

Sig:

Fax: (213) 629-1033

Tel: (213) 488-7584

RRW/jes

NOTE: File in duplicate with 2 post card receipts (PAT-103) & attachments

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application Katsuichi OSAKABE	Group No.: Not Assigned
Serial No.: Not Assigned	Examiner: Not Assigned
Filed: January 28, 2002	
For: <b>OPTICAL DISK WITH PRERECORDED CONTROL INFORMATION</b>	

CERTIFICATE OF MAILING VIA U.S. EXPRESS MAIL

"Express Mail" Mailing Label No. EL 860 913 259 US

Date of Deposit: January 28, 2002

Box Patent Application  
Assistant Commissioner for Patents  
Washington, D.C. 20231

Dear Sir:

I hereby certify that

- Letter of transmittal
- Patent application (38 pages of specification; 36 claims; 1 pages of abstract)
- Checks in the amount of \$740.00 and \$960.00 to cover the filing fee and additional claims fee
- A Declaration/Power of Attorney
- 7 sheets of formal drawings
- Return postcard
- An Assignment of the invention with cover sheet and \$40.00 recordation fee
- An Information Disclosure Statement with 5 references and form PTO-1449
- A Preliminary Amendment

are being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service with sufficient postage under 37 CFR 1.10 on the date indicated above and are addressed to:

Box Patent Application  
Assistant Commissioner for Patents  
Washington, D.C. 20231.

January 28, 2002  
Date of Deposit

Lucy Gonzalez